Form PTO-1449 (modified ATTY, DKT. NO. 5181-70500 SERIAL NO. 09/653,610 List of Patents and Publications GROUP: 2141 For Applicant's Information APPLICANT: Slaughter et al. Disclosure Statement (Use several sheets if necessary) FILING DATE: August 31, 2000 U.S. PATENT DOCUMENTS EXAM. REF. DOCUMENT NUMBER DATE CLASS TRANSLATION Inventors SUB YES/NO INITIALS DES. **CLASS** &N Gl 6,301,661 10-2001 Shambroom W. David Qr G2 6,775,783 8-2004 Trostle, Jonathan T. FOREIGN PATENT DOCUMENTS EXAM. REF. **DOCUMENT NUMBER** DATE COUNTRY **CLASS** TRANSLATION SUB YES/NO ÎNITIALS DES. **CLASS** OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.



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A PROFESSIONAL CORPORATION PATENTS, THADEMARKS, COPYRIGHTS & UNFAIR COMPETITION

FAX

To: Quang N. Nguyen, Art Unit 2141 From: Robert C. Kowert

Fax: 571-273-3886 Pages: 7 (cover sheet)

Phone: Date: February 3, 2006

Re: 09/653,610 (5181-70500) Phone:

Examiner Nguyen,

As we discussed in our telephone conversation yesterday, in the Order Returning Undocketed Appeal to Examiner, the Board of Patent Appeals and Interferences has requested you to consider the Information Disclosure Statement (IDS) filed on March 31, 2005. The Board also indicated that the Form PTO-1449 that accompanied the IDS filed on October 9, 2003 could not be located. A copy of the Form PTO-1449 from the IDS filed on October 9, 2003, was resent with Applicants' Response filed October 15, 2004. With the Advisory Action of October 27, 2004, you returned an initialed and signed copy of the resubmitted Form PTO-1449 from the October 9, 2003 IDS. Included herewith is a copy of the original October 9, 2003 IDS, Form PTO-1449 and date-stamped return receipt posteard, as well as a copy of the initialed and signed copy of the Form PTO-1449 received from you with the Advisory Action of October 27, 2004 that corresponds to the October 9, 2003 IDS. Please let me know if you need any additional information.

Robert C. Kowert (REG 39, 255)

THIS PACSIMILE TRANSMITTAL AND THE DOCUMENTS ACCOMPANYING THIS FACSIMILE TRANSMITTAL CONTAIN CONFIDENTIAL INFORMATION INTENDED ONLY FOR THE USE OF THE INDIVIDUAL NAMED ABOVE. IF YOU ARE NOT THE INTENDED RECIPIENT YOU ARE NOTIFIED THAT THIS COMMUNICATION MAY BE SUBJECT TO THE ATTORNEY-CLIENT OR WORK-PRODUCT PRIVILEGE AND THAT THE DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE (COLLECT) TO ARRANGE FOR RETURN OF THE DOCUMENTS, RECEIPT BY ANYONE OTHER THAN THE INTENDED RECIPIENT IS NOT A WAIVER OF ANY ATTORNEY-CLIENT OR WORK-PRODUCT PRIVILEGE.

PATENT (5181-70500/P5201)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/653,610 Filed: August 31, 2000 Inventor(s): Saulpaugh et al	Examiner: Unknown Group/Art Unit: 2181 Atty. Dkt. No: 5181-70500 Thereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class small in an envelope addressed to Commissioner for Patents, 19.0 Box 1450, Alexandria, VA 22313-1450, on the			
Title: METHOD AND APPARATUS TO OBTAIN NEGOTIATED SERVICE ADVERTISEMENT	Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below. Robert C. Kowert Name of Registered Representative Signalure October 7, 2003			
INFORMATION DIS	SCLOSURE STATEMENT			

Commissioner for Patents P.O. ox 1450 Alexandria, VA 22313-150

Sir:

and/or [the a	desis consideration of the references listed on the attached Form PTO- additional information identified below in paragraph 3. A copy of each form PTO-1449 is enclosed.
This I	Informat	ion Disclosure Statement is submitted:
a.		within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application; before the mailing date of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
b.		after the events of above paragraph Ia and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: the certification of paragraph 2 below is provided, or a fee of \$180.00 is evelosed.

	c.		after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.			
2.	It is he	reby certified:				
		was ci	ch item of information contained in this Information Disclosure Statement ted in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the ent, or			
		was ci foreign after n	item of information contained in the Information Disclosure Statement ted in a communication from a foreign patent office in a counterpart application or, to the knowledge of the person signing the certification taking reasonable inquiry, was known to any individual designated in §) more than three months prior to the filing of the Statement.			
3.		Consid or abar	eration of the following additional information (including any co-pending adoned U.S. applications, prior uses and/or sales, etc.) is requested:			
4.	For ea	ch non-	English language reference listed on the attached Form PTO-1449:			
		referen	ce is made to an English language translation submitted herewith, and/or			
			ace is made to a foreign patent office search report (in the English ge) submitted herewith, and/or			
			ice is made to an English language translation of a foreign patent office report submitted herewith, and/or			
		referen presen	ice is made to the concise explanation contained in the specification of the tapplication at page(s), and/or			
		referen	ce is made to the concise explanation set forth below:			
5.		Applic	ant also offers the following comments for the Examiner's consideration:			
6 .		Also e	nclosed is a copy of a foreign search report citing these references.			
7.		payme commin not me Staten	sted documents were brought to the attention of the Applicant(s) after nt of the issue fee in the captioned case. The documents were cited in a quication from a foreign patent office in a counterpart foreign application fore than three months prior to the filing of this Information Disclosure tent. Applicant(s) request this Information Disclosure Statement and ad Form PTO-1449 be placed in the file of the captioned application.			
8.		Form	cant(s) requests that the Information Disclosure Statement and attached PTO-1449 and references, which are being filed before the grant of the and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned atton.			

If any required fees are missing, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5181-70500/RCK.

Respectfully submitted,

Robert C. Kowert Reg. No. 39,255

Attorney for Applicant(s)

MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C. P. O. Box 398
Austin, Texas 78767
(512) 853-8800

Date: October 7, 2003

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DATE CONSIDERED:

Page 1 of 3 Information Disclosure Statement--PTO 1449 (modified)

Page I of I

Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)			ATTY. DKT.	SERI	SERIAL NO. 09/653,610 GROUP: 2181				
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	132	Czerwinski, et al. "An Architecture for a Secure Service Discovery Service," Copyright ACM 1999, X1'000896069, pp. 24-35							
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Page Lof 3

Information Disclosure Statement-PTO 1449 (modified)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Slaughter et al

Assignee:

Sun Microsystems, Inc.

Serial No.:

09/653,610 Filing Date: August 31, 2000

Title:

METHOD AND APPARATUS TO OBTAIN NEGOTIATED SERVICE

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Auy. Docket No. 5181-70500/125201

The date stamp of the mail room of the U.S. Patent and Trademark Office hereon will acknowledge receipt of the attached 1) Information Disclosure Statement w/accompanying Form PTO-1449, references E1 - E4; and 2) Return Postcard.

RCK\dmp

Via First Class Mail

date: October 7, 2003